



ISSN: 2230-9926

Available online at <http://www.journalijdr.com>

# IJDR

International Journal of Development Research  
Vol. 15, Issue, 04, pp. 68216-68219, April, 2025  
<https://doi.org/10.37118/ijdr.29451.04.2025>



## RESEARCH ARTICLE

## OPEN ACCESS

### TRANSCRANIAL DIRECT CURRENT STIMULATION ASSOCIATED WITH PHYSIOTHERAPEUTIC REHABILITATION IN PATIENTS WITH NEUROLOGICAL SEQUELAE

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#### ARTICLE INFO

##### Article History:

Received 20<sup>th</sup> January, 2025

Received in revised form

27<sup>th</sup> February, 2025

Accepted 02<sup>nd</sup> March, 2025

Published online 30<sup>th</sup> April, 2025

##### KeyWords:

Transcranial Direct Current Stimulation;  
Nervous System Diseases; Stroke;  
Parkinson's Disease; Cerebellar Ataxia.

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#### ABSTRACT

**Introduction:** Transcranial direct current stimulation (tDCS) is an innovative approach for the treatment of neurological injuries. Objective: This study aimed to investigate the benefits of combining physiotherapeutic intervention with tDCS to improve functionality, balance, and pain levels in patients with neurological sequelae. **Methodology:** This original, experimental study included nine patients with neurological sequelae: two with adult ataxic cerebral palsy, four with stroke sequelae, two with spinal cord injury sequelae, and one with cerebral palsy. The participants underwent 8 to 20 tDCS sessions combined with functional training and physiotherapeutic rehabilitation to improve motor function, balance, motor coordination, and pain management. Pre- and post-intervention assessments included the Timed Up and Go test, the Fulg-Meyer scale for the upper limbs, and the visual analog scale (VAS) for pain. Statistical analysis was performed using Student's *t*-test, with a significance level of  $p = 0.05$ , using GraphPad Prism 8. **Results:** The results showed significant benefits, including improved upper limb function in patients with stroke sequelae, reduced pain in patients with spinal cord injury, improved balance in patients with Parkinson's disease, and enhanced motor coordination in patients with ataxic cerebral palsy. **Conclusion:** Our findings suggest that tDCS, when combined with physiotherapeutic intervention, is effective in improving function, balance, motor coordination, and reducing pain in patients with neurological sequelae.

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**Citation:** Lorena Tavares Ferreira Condé, Bianca Ramos da Rocha Leite, Ana Clara de Melo Naves, Andressa Ágata da Silva Ribeiro et al, 2025. "Transcranial direct current stimulation associated with physiotherapeutic rehabilitation in patients with neurological sequelae". *International Journal of Development Research*, 15, (04), 68216-68219.

## INTRODUCTION

Low-intensity electrical stimuli can elicit significant therapeutic responses in the brains of patients with neurological diseases sequelae<sup>1</sup>. Neuromodulation can be achieved through transcranial direct current stimulation (tDCS), transcranial alternating current stimulation (tACS), transcranial pulsed current stimulation (tPCS), and transcranial random noise stimulation (tRNS)<sup>2</sup>. Purely continuous stimulation (DCS mode) involves the application of a direct current signal that flows unidirectionally from positive to negative and vice versa. This current is prolonged (tDCS,  $\leq 2$  mA) to enhance cortical excitability and modulate brain activity<sup>2</sup>. This type of electrical stimulation has been used in the rehabilitation of various neurological conditions, including depression, epilepsy, Parkinson's disease,

movement disorders, stroke sequelae, Alzheimer's disease and memory impairments, autism, choreas, and athetoses<sup>3,4</sup>. In the study by Alekseichuk et al. (2019)<sup>1</sup>, the authors observed that transcranial electrical stimulation (TES) stimulates neural tissue in the brain, synchronizes neural networks, and induces neuromodulation. Therefore, when combined with neurofunctional rehabilitation, both transcranial magnetic stimulation (TMS) and TES exhibit notable neurophysiological effects. Transcranial direct current stimulation (tDCS) is a neuromodulation technique used to stimulate the brain. When combined with physiotherapeutic rehabilitation, it aims to enhance treatment outcomes and restore function in patients with neurological sequelae<sup>5</sup>. In this context, the objective of this study was to investigate the benefits of combining physiotherapeutic intervention with tDCS to improve functionality, balance, motor coordination, and pain sensitivity in patients with neurological sequelae.

## METHODS

For this purpose, an original experimental study was conducted with nine patients with neurological sequelae from different conditions, including two with cerebellar ataxia–adult cerebral palsy, two with spinal cord injuries, four with stroke, and one with Parkinson’s disease. In order to assess functional independence, coordination, and functionality in patients with stroke and cerebellar ataxia–adult cerebral palsy, the Fugl-Meyer Upper Limb Scale was used. For patients with spinal cord injury sequelae, the visual analog scale (VAS) for pain assessment was applied, while the Timed Up and Go (TUG) test was used to evaluate balance and gait speed in the patient with Parkinson’s disease. Between 8 and 20 sessions of tDCS were performed, alongside physiotherapeutic functional training to improve the patients’ functional neurological status. The TUG test is a simple assessment used to evaluate balance, gait speed, and even the degree of fall risk in patients. During the test, the patients are instructed to stand up from a chair, walk 3 meters to an obstacle, return to the chair, and sit back down. The faster they complete the task, the better their performance<sup>6</sup>. The Fugl-Meyer Upper Limb Scale evaluates upper limb mobility and functionality and comprises five dimensions: motor control, range of motion, sensitivity, pain, and balance. Each item is scored on a three-level scale, with a total possible score of 100 points<sup>7</sup>. For tDCS, the tDCS mode was set to 1–2 mA, with electrodes positioned parallel to the cranial bones at specific points based on the 10/20 electroencephalogram (EEG) system and the expected benefits for each patient. The stimulation lasted 20 minutes, as shown in Table 1.

**Table 1. tDCS Application coordinates and treatment protocols**

| Patient   | Neurological Disease                                       | tDCS Points           | # Sessions | Combined Physiotherapeutic Intervention                     |
|-----------|------------------------------------------------------------|-----------------------|------------|-------------------------------------------------------------|
| Patient A | Cerebellar Ataxia (PC)                                     | Cz and Oz             | 20         | Functional training for hand motor coordination             |
| Patient B | Cerebellar Ataxia (PC)                                     | Cz and Oz             | 20         | Functional training for hand motor coordination             |
| Patient C | Hemisection of the cervical spinal cord at the C5-T1 level | 2 cm behind C3 and C4 | 8          | Desensitization of the upper and lower limbs                |
| Patient D | Laminectomy and radiculotomy at the C5-T1 level            | 2 cm behind C3 and C4 | 20         | Desensitization of the upper and lower limbs                |
| Patient E | Stroke sequelae                                            | C3 and C4             | 12         | Functional motor coordination training with the upper limbs |
| Patient F | Stroke sequelae                                            | C3 and C4             | 15         | Functional motor coordination training with the upper limbs |
| Patient G | Stroke sequelae                                            | C3 and C4             | 20         | Functional motor coordination training with the upper limbs |
| Patient H | Stroke sequelae                                            | C3 and C4             | 20         | Functional motor coordination training with the upper limbs |
| Patient I | Parkinson’s Disease                                        | Oz and Cz             | 8          | Gait and body balance training                              |

**Table 2. Mean values of the Fugl-Meyerscale parameters for the patient with cerebellar ataxia before and after tDCS**

| Fugl-Meyer Scale Parameters      | Initial Result | Final Result |
|----------------------------------|----------------|--------------|
| <i>I</i>                         | 4              | 4            |
| <i>II</i>                        | 9              | 18           |
| <i>III</i>                       | 3              | 6            |
| <i>IV</i>                        | 3              | 6            |
| <i>V</i>                         | 1              | 1            |
| <i>B– Wrist</i>                  | 5              | 8            |
| <i>C– Hand</i>                   | 7              | 10           |
| <i>D</i>                         | 0              | 2            |
| <i>H</i>                         | 10             | 12           |
| <i>J– Passive joint movement</i> | 24             | 24           |
| <i>J– Joint pain</i>             | 24             | 24           |

\* $p < 0.05$ . Paired Student’s t-test.

tDCS was integrated into physiotherapeutic functional training, targeting specific aspects and parameters for improvement, which varied for each patient based on their therapeutic objectives<sup>5,8</sup>. The patients with neurological sequelae were assessed before and after the therapeutic intervention and tDCS sessions. For the statistical analysis, a paired Student’s t-test was performed, considering a significance level of  $p = 0.05$ , using GraphPad Prism 8 software.

## RESULTS

A total of nine patients with neurological sequelae from different pathologies were evaluated: two with cerebellar ataxia–adult cerebral

palsy, two with spinal cord injuries, four with stroke, and one with Parkinson’s disease. The application of tDCS in Patient A, a 50-year-old male with ataxic-type cerebral palsy, who received tDCS at points Cz and Oz, resulted in significant improvement in functionality, as assessed by the Fugl-Meyer scale (see Table 2). The paired Student’s t-test showed a significant difference ( $p = 0.0162$ ). In Patient B, a 40-year-old female with neurological sequelae and ataxic-type cerebral palsy, who received tDCS at points Cz and Oz, significant improvement in functionality was also observed, as assessed by the Fugl-Meyer scale (see Table 3), with a  $p$ -value of 0.0116. The assessment of pain levels in Patient C, who exhibited sequelae from cervical spinal cord hemisection at the C5-T1 level and received tDCS at points located 2 cm behind C3 and C4, showed a significant decrease after the 8th tDCS session, as shown in Figure 1. In Patient D, who presented with sequelae from laminectomy and radiculotomy at the C5-T1 level, a decrease in pain levels was also observed, as shown in Figure 1. The results for Patient E, who had stroke sequelae and received tDCS in the upper limb control regions (C3 and C4), showed an improvement in upper limb motor function after 12 sessions, with a  $p$ -value of 0.0102. Patient F, who exhibited stroke sequelae and upper limb motor incoordination, showed an improvement in upper limb motor coordination after 15 tDCS sessions, with a  $p$ -value of 0.0123 in the paired Student’s t-test. Data from Patient G, who had stroke sequelae, showed a significant difference between the initial and final assessments after 20 sessions of tDCS, with a  $p$ -value of 0.0025. The evaluation of Patient H, who had stroke sequelae, did not show significant differences in mean Fugl-Meyer scale values, with a  $p$ -value of 0.718.

Patient I, who had Parkinson’s disease and received 8 sessions of tDCS, showed an improvement in gait speed on the TUG test. At the beginning of the sessions, they took 18 seconds to cover the 3-meter distance, and by the end of the sessions, they took 12 seconds.

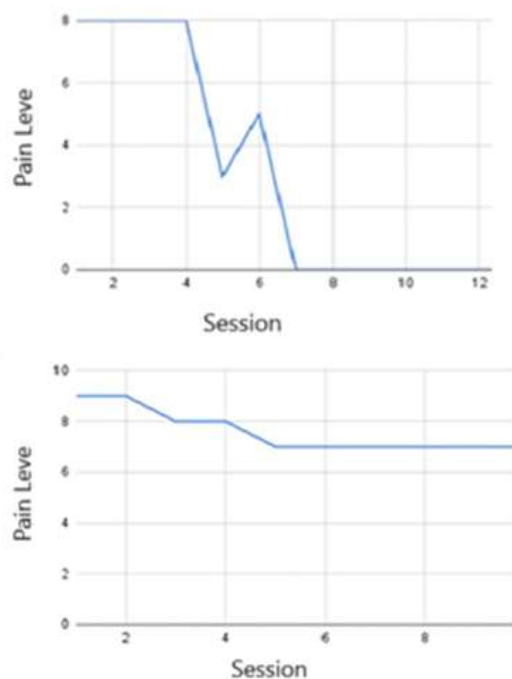
## DISCUSSION

The research data demonstrated a significant improvement in motor coordination and upper limb function in patients with cerebellar ataxia–adult cerebral palsy. These patients underwent combined treatment with tDCS and physiotherapeutic functional training for the upper limbs.

**Table 3. Mean values of the Fugl-Meyerscale parameters for Patient B with ataxic cerebral palsy before and after tDCS**

| Fugl-Meyer Scale Parameters | Initial Result | Final Result |
|-----------------------------|----------------|--------------|
| I                           | 4              | 4            |
| II                          | 10             | 19           |
| III                         | 4              | 8            |
| IV                          | 4              | 8            |
| V                           | 1              | 2            |
| B- Wrist                    | 6              | 10           |
| C- Hand                     | 6              | 12           |
| D                           | 1              | 2            |
| H                           | 11             | 12           |
| J-Passive joint movement    | 24             | 24           |
| J-Joint pain                | 24             | 24           |

\* $p < 0.05$  – Paired Student's t-test.

**Figure 1. Mean VAS pain assessment scores in Patients C and D after the tDCS sessions**

The neuromodulation protocol—using an anode at point Oz and a cathode at Cz, with 20 sessions of 2 mA stimulation for 20 minutes per session, three times a week—significantly enhanced their motor control. It is important to note that these patients were born with brain alterations, and previous physiotherapeutic interventions had been exhausted in attempts to improve their chronic conditions. In this context, tDCS emerges as an innovative therapeutic strategy, offering new hope and meaningful improvements for these individuals. Similarly, in the study by Mendonça et al. (2021)<sup>9</sup>, a patient with cerebellar ataxia for 10 years showed significant improvements in gait speed and balance after receiving physiotherapeutic rehabilitation combined with tDCS (2 mA, 20 minutes per session, with the anode at theinion) for two weeks, with daily sessions. The results from patients with spinal and radicular injuries, who experienced high levels of pain at the beginning of the treatment, showed a significant reduction in pain after 8 sessions. Patient C achieved complete pain relief, while Patient D showed a reduction in pain intensity after 8 tDCS sessions. The intervention lasted 20 minutes per session at 2 mA, with the anode positioned 2 cm behind C3 and the cathode 2 cm behind C4, across 8 to 20 sessions, three times a week. The adopted physiotherapeutic intervention involved desensitization of the hands and feet to stimulate ascending fibers that conduct nerve impulses to the somesthetic areas of the brain. According to Lima et al. (2007)<sup>10</sup>, neuropathic pain, caused by nervous system injuries, is chronic but can be alleviated through transcranial electrical stimulation, a non-

invasive technique that neuromodulates brain connections and provides significant benefits for patients. The patients with stroke sequelae showed significant improvements in motor coordination, functionality, motor performance, and strength of the paralyzed upper limbs, as evidenced by the statistically significant difference in Fugl-Meyer scale scores before and after the intervention. Between 12 and 20 sessions of tDCS were conducted, each lasting 20 minutes, at 2 mA, with the anode positioned at C3 and the cathode at C4 (motor areas of the brain). Anode and cathode placement varied based on the hemisphere of brain injury, with the anode positioned over the affected hemisphere and the cathode over the unaffected hemisphere, three times a week. During the application of TES, the physiotherapeutic intervention was combined with functional and therapeutic exercises to improve mobility, functionality, and strengthen the paralyzed upper limbs of the patients. In the study by Isner et al. (2020)<sup>11</sup>, variability in tDCS outcomes was observed in stroke patients. However, significant variability in protocols was noted, with many studies not incorporating physiotherapeutic intervention. Gait speed and balance training combined with tDCS for 20 minutes at 2 mA, with the anode at Oz and the cathode at Cz, three times a week, benefited the patients by reducing the time required to complete the 3-meter Timed Up and Go (TUG) test. These findings align with the study by Fiório et al. (2021)<sup>12</sup>, which reported improvements in gait speed and balance in a patient with Parkinson's disease after 20 sessions of gait training and tDCS (20 minutes per session at 2 mA, with the anode at Cz). Thus, the present study identified improvements in various therapeutic parameters in patients with neurological sequelae through the combined use of tDCS and physiotherapeutic intervention. These findings provide new therapeutic perspectives for these patients, contributing to enhanced functionality, balance, coordination, and pain reduction, ultimately improving their quality of life.

## CONCLUSION

This study demonstrated significant benefits, including improved upper limb functionality in patients with stroke sequelae, pain reduction in patients with spinal cord injuries, enhanced balance in patients with Parkinson's disease, and improved motor coordination in patients with ataxic cerebral palsy. It can be concluded that tDCS, when combined with physiotherapeutic intervention, was effective in improving aspects related to functionality, balance, motor coordination, and pain reduction in patients with neurological sequelae.

## Acknowledgments

We would like to thank the Research Pro-Rectorate of UNIPAC-Barbacena, the UNIPAC Physical Therapy Program, and the Vera Tamm de Andrada School Clinic, Magnus Campus.

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